

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): James M. Gill, et al.  
APPLICATION NO.: 09/939,206  
FILING DATE: August 24, 2001  
TITLE: FREIGHT RATE MANAGER  
EXAMINER: Unassigned  
GROUP ART UNIT: 2162  
ATTY. DKT. NO.: 22725-05869

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Dated: 3/26/03

By:   
Brian M. Hoffman, Reg. No.: 39,713

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**SUPPELEMENTAL INFORMATION DISCLOSURE STATEMENT**  
**Under 37 CFR §§ 1.56 and 1.97-98**

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner. Enclosed is a copy of each listed reference that may be material to the examination of this application, and for which there may be a duty to disclose.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

- ☒ within three months of the filing date of the application, or date of entry into the national stage of an international application, or before the mailing date of a first office action on the merits, whichever event last occurred;

- ☐ before the mailing of a first official action after the filing of a request for continued examination (RCE) under 37 CFR § 1.114;
- ☐ after three months of the filing date of this national application or the date of entry of the national stage in an international application, or after the mailing date of the first official action on the merits, whichever event last occurred, but before the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; or (2) an action that otherwise closes prosecution in the application, and:
  - ☐ Applicant certifies pursuant to 37 CFR § 1.97(e) that:
    - ☐ each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Statement; OR
    - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement;
- ☐ on or before the payment of the issue fee but after the mailing date of the first to occur of either: (1) a final action under 37 CFR § 1.113; (2) a notice of allowance under 37 CFR § 1.311; or (3) an action that otherwise closes prosecution in the application, and:
  - ☐ Applicant certifies pursuant to 37 CFR. § 1.97(e) that:
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    - ☐ no item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to the knowledge of the person signing this

certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the filing of this Statement; AND

- ☐ after the payment of the issue fee. Applicant requests that the information contained in this Information Disclosure Statement be placed in the file according to 37 CFR § 1.97(i), although the information may not be considered by the USPTO.
- ☒ This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior application No. 09/573,583, filed on May 18, 2000, and the references cited therein are hereby referenced, but are not required to be provided in this application under 37 CFR § 1.98(d).
- ☐ Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application, and the communication was not received by any individual designated in 37 CFR § 1.56(c) more than thirty days prior to the filing of this Information Disclosure Statement. 37 CFR § 1.704(d).
- ☒ Applicant submits that no fee is required for the consideration of this Information Disclosure Statement.

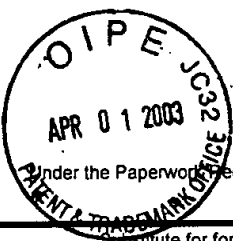
Consideration of the listed references and favorable action are solicited.

Respectfully submitted,  
JAMES M. GILL, ET AL.

Dated: 3/26/03

By: 

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Substitute for form 1449A/PTO

**SUPPLEMENTAL INFORMATION  
DISCLOSURE  
STATEMENT BY APPLICANT**

**Complete if Known**

Application No. 09/939,206  
Filing Date August 24, 2001  
First Named Inventor James M. Gill, et al.  
Art Unit 2162  
Examiner Name Unassigned  
Attorney Docket Number 22725-05869

Sheet 1 of 2

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**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document No. Number - Kind Code <sup>2</sup> (if known)	Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document
	A1	US 2002/0049622 A1	04-25-2002	Lettich, <i>et al.</i>
	A2	US 2002/0010665 A1	01-24-2002	Lefebvre, <i>et al.</i>
	A3	US-5,063,506	11-05-1991	Brockwell, <i>et al.</i>
	A4	US-5,485,369	01-16-1996	Nicholls, <i>et al.</i>
	A5	US-5,799,151	08-25-1998	Hoffer
	A6	US-5,721,832	02-24-1998	Westrope, <i>et al.</i>
	A7	US-6,065,673	05-23-2000	Kokkila

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> - Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	T <sup>6</sup>
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**OTHER REFERENCES - NON-PATENT LITERATURE DOCUMENTS**

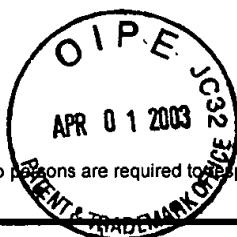
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	C1	Network Computing web pages. "International E-Commerce" pp. 1-2 [online], November 15, 1999 [retrieved on 06/06/02]. Retrieved from the Internet: <URL: <a href="http://www.networkcomputing.com/1023/1023f24.html">http://www.networkcomputing.com/1023/1023f24.html</a> >	
	C2	Network Computing web pages. "International E-Commerce Governing Who Goes Global" pp. 1-2 [online], November 15, 1999 [retrieved on 06/06/02]. Retrieved from the Internet: <URL: <a href="http://www.networkcomputing.com/1023/1023f23.html">http://www.networkcomputing.com/1023/1023f23.html</a> >	
	C3	Network Computing web pages. "Shippers Try Software To Tally Foreign Tariffs" pp. 1-3 [online], December 20, 1999 [retrieved on 06/06/02]. Retrieved from the Internet: <URL: <a href="http://www.networkcomputing.com/news/1999/story/0.11280.37919.00.html">http://www.networkcomputing.com/news/1999/story/0.11280.37919.00.html</a> >	
	C4	SupplyChainBrain.com web pages. "The Level of Detail Determines Accuracy in Computing Landed Cost" pp. 1-4 [online], April 2001 [retrieved on 06/06/02]. Retrieved from the Internet: < <a href="http://www.supplychainbrain.com/archives/4.01.opinion.htm?adcode=30">http://www.supplychainbrain.com/archives/4.01.opinion.htm?adcode=30</a> >	

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<b>SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>				Application No.	09/939,206
				Filing Date	August 24, 2001
				First Named Inventor	James M. Gill et al.
				Art Unit	2162
				Examiner Name	Unassigned
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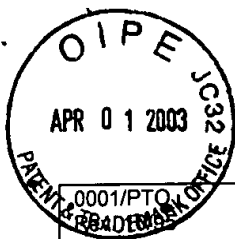
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Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T <sup>2</sup>
	C5	SupplyChainBrain.com web pages. "The Supply Chain e-Business Top 100 Plan: Supply-Chain Planning Builds on Its Success" pp. 1-4 [online], June 2001 [retrieved on 06/06/02]. Retrieved from the Internet: < <a href="http://www.glses.com/archives/6.01.Plann100.htm?adcode=80">http://www.glses.com/archives/6.01.Plann100.htm?adcode=80</a> >	
	C6	ManufacturingSystems.com web pages. "International efficiencies, Trade logistics software increases supply chain visibility, aids sourcing across national boundaries," pp. 1-3 [online] [retrieved on 06/06/02]. Retrieved from the Internet: < <a href="http://www.manufacturingsystems.com/newsletter/012802/sb0128.asp">http://www.manufacturingsystems.com/newsletter/012802/sb0128.asp</a> >	
	C7	Arzoon Life Solutions web pages. "Total Landed Cost Calculator," pp.1-2 [online] [retrieved on 06/06/02]. Retrieved from the Internet: < <a href="http://www.arzoon.com/als_TotalLandedCostCalculator.html">http://www.arzoon.com/als_TotalLandedCostCalculator.html</a> >	
	C8	"Supply Chain Network Optimization," Genesis Solutions, 8 pages, < <a href="http://www.genesisolutions.com">http://www.genesisolutions.com</a> >	
	C9	"Logistics Execution for Global Trade," TRADEPAQ Corporation, 2 pages, © 1999-2002 by TRADEPAQ Corporation	
	C10	Widesoft Systems web pages. "Widesoft B2B Solutions," pp.1-4 [online] [retrieved on 06/06/2002]. Retrieved from the Internet: < <a href="http://www.widesoft.com/br/site/english">http://www.widesoft.com/br/site/english</a> >	
	C11	WorldWide Retail Exchange web pages. "WorldWide Trade Logistics," 2 pages [online], [retrieved on 06/06/2002] Retrieved from the Internet: < <a href="http://worldwideretailexchange.org/cs/en_US/exchange/wr36000.html">http://worldwideretailexchange.org/cs/en_US/exchange/wr36000.html</a> >	
	C12	Business Wire, "Fritz Offers Faster Duty and Tax Information to Clients; Takes Orders for New Proprietary Trade Cost Model," 4 pages, 02/10/1999	
	C13	ITS America web pages, "Syntra Technologies Launches Global Commerce Management Initiative," pp. 1-4, [online] [retrieved on 06/06/02] Retrieved from Internet: < <a href="http://itsa.org/ITSNEWS.NSF/4e0650bef6193b.../cc83903ba39f97852567da00407b7d?OpenDocument">http://itsa.org/ITSNEWS.NSF/4e0650bef6193b.../cc83903ba39f97852567da00407b7d?OpenDocument</a> >	
	C14	NextLinx web pages, "Supplylinks Chooses NetxLinx to Extend Global Transportation Solution," pp. 1-3 [online] [retrieved on 06/06/2002] Retrieved from Internet: < <a href="http://nextlinx.com/prs_rel3_20.html">http://nextlinx.com/prs_rel3_20.html</a> >	
	C15	Qiva web pages, "Qiva Rolls Out Integrated Product Strategy; Launches New Web Site and Corporate Identity," pp. 1-2 [online] [retrieved 06/06/2002] Retrieved from Internet: < <a href="http://www.qiva.com/news/prbrand711.html">http://www.qiva.com/news/prbrand711.html</a> >	
	C16	From2com, 07/1999, Forms Strategic Alliance With Subasta.com, PR Newswire	

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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence during pendency of filed application)</i>	U.S. Department of Commerce Patent and Trademark Office	Application Number	09/939,206
		Filing Date	August 24, 2001
		First Named Inventor	James M. Gull et al.
		Group Art Unit Number	2162
		Examiner Name	Unassigned
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<b>REMARKS:</b> * cited references not included in page count	

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